



SCHOOLS FUNDING FORUM AGENDA

8.30 am – 11.00 am

**Friday
13 June 2014**

**CEME
MAIN ENTRANCE**

Members: 27 Quorum: 11

MEMBERSHIP:

Head Teachers (12):

Nigel Emes (Chair) (Primary)
Margy Bushell (Primary)
David Denchfield (Primary)
Chris Hobson (Primary)
Angela Winch (Primary)
Vacancy (Primary)
Bill Edgar (Secondary)
Julian Dutnall (Secondary Academy)
Simon London (Secondary Academy)
Keith Williams (Secondary Academy)
Geoff Wroe (Special Schools)
Vacancy (Academy)

Governors (7):

Joe Webster (Vice Chair) (Secondary)
Tracey Walker (Primary)
Daniel Gricks (Secondary Academy)
John McKernan (Secondary Academy)
Vacancy (Primary)
Vacancy (Primary)
Vacancy (Special Schools)

Pupil Referral Units (1)

Noel McNab

**Non-School
Representatives (4):**

Katrina Karwacinski (Early Years PVI Sector)
Trevor Sim (Vulnerable Children)
Maria Thompson (14-19 Partnership)
Vacancy (Diocesan Board of Education)

Trade Unions (3):

Keith Passingham (NASUWT)
Dave Thomas (UNISON)
Ray Waxler (NUT)

Public Document Pack

Please contact David Allen david.allen@havering.gov.uk Tel: 433851 to give apologies for absence or to raise queries on the agenda.

If you are unable to attend please contact your named substitute or ask David Allen to do so on your behalf.

AGENDA ITEMS

1 APOLOGIES FOR ABSENCE AND ANNOUNCEMENT OF SUBSTITUTE MEMBERS OR OBSERVERS

Keith Passingham, Daniel Gricks, Bill Edgar, Noel McNab and Katrina Karwacinski.

2 TO AGREE THE NOTES OF THE MEETING HELD ON 20 MARCH 2014

The notes are attached at Appendix A.

3 MATTERS ARISING

4 MEMBERSHIP

(i) To consider a report on primary and secondary representation in to pupil numbers. Appendix B refers.

(ii) To note the vacancies for two primary school governors, a special governor and a representative from primary academies.

5 2013-2014 STATEMENT OF EXPENDITURE ON SCHOOLS PARTNERSHIP AND SCHOOLS CAUSING CONCERN

To note the schools that were supported through the above budgets in 2013-14. Appendix C refers.

6 2013-14 STATEMENT OF EXPENDITURE ON PUPIL GROWTH

To note the funding that was allocated to schools from the above budgets in 2013-14. Appendix D refers.

7 ALLOCATION OF 2013-2014 DSG BALANCE CARRIED FORWARD

At closure of accounts, £1.689m was carried forward from the centrally retained DSG. Proposals to allocate this one-off funding is attached at Appendix E.

8 2013-2014 SCHOOL BALANCES

To note the school balances at year end 2013-14 (Appendix F) and a letter (Appendix G) sent by the LA to those schools with balances in excess of 8% (primary and special) and 5% (secondary).

9 2014-2015 DSG BLOCK FUNDING

To note the funding allocated to the LA through the Early Years, Schools and High Needs Block. Appendix H refers.

10 2014-15 SECTION 251 STATEMENT

To receive the Section 251 Budget Statement for 2014-15. Appendix I and I.1 refer.

11 2014-15 BUDGET FOR CENTRAL EARLY YEARS FUNDING

To note the funding held centrally in support of early years education. Appendix J refers.

12 2014-15 HIGH NEEDS BLOCK FUNDING AND ARRANGEMENTS FOR SEN AND ALTERNATIVE PROVISION

To note the funding within the High Needs Block to support SEN and Alternative Provision. Appendix K refers.

13 SEND REFORM

To receive a progress report on meeting the requirements of the Children and Families Bill.

14 FALLING ROLLS FUND - LOCAL ARRANGEMENTS FOR SCHOOLS IN FINANCIAL DIFFICULTIES

The Schools Finance Regulations allow LAs to create a fund to support schools with falling rolls where the surplus places will be needed in the near future but only for Good and Outstanding schools and academies. A proposal will be discussed at the meeting for a local arrangement to support schools with falling rolls which have other Ofsted judgements.

15 ACADEMY UPDATE

To note the dates for schools becoming academies.

1st July 2014 – Brookside Junior (sponsored by Drapers Company and Queen Mary University)

1st September 2014 - Rise Park Infant

1st September 2014 - Rise Park Junior (sponsored by Rise Park Infant Academy Trust)

16 SERVICES FOR SCHOOLS - TRANSITION PROTOCOL FOR ACADEMY TRANSFER

To consider the transition protocol. Paper to follow.

17 FREE SCHOOL MEALS FOR KS1 PUPILS

To note the calculation of the grant to allocate £2.30 per meal for newly eligible pupils. Appendix L refers.

18 DFE CONSULTATION - FAIRER FUNDING 2015-2016

To consider the response to the DFE consultation on the distribution of £350m to provide a minimum level of funding to schools through the funding factors. Appendix M refers

19 DFE CONSULTATION - SIMPLIFYING THE ADMINISTRATION OF ACADEMIES FUNDING

The LA response to this is attached at Appendix N and will be discussed at the meeting.

20 NEXT MEETING

Dates for academic year 2014/15

The next meetings have been arranged for 10th July 2014

Meetings for the academic year 2014/15 are to be agreed at the meeting. Proposed dates to be tabled.

21 ANY OTHER BUSINESS

**Andrew Beesley
Committee Administration Manager**

This page is intentionally left blank

Public Document Pack Agenda Item 2

MINUTES OF A MEETING OF THE SCHOOLS FUNDING FORUM Room 209, CEME, RAINHAM

20 March 2014 (8.30 - 10.15 am)

Present:

Headteachers:

Nigel Emes (Chair) (Primary)
Margy Bushell (Primary)
Christine Drew (Primary)
Chris Hobson (Primary)
Angela Winch (Primary)
Keith Williams (Secondary Academy)
Bill Edgar (Secondary)
Simon London (Secondary Academy)
Geoff Wroe (Special Schools)

Governors:

Joe Webster (Vice Chair) (Secondary)
Tracey Walker (Primary)

Pupil Referral Units

Noel McNab

Non-School Representatives:

Maria Thompson (14-19 Partnership)

Trade Unions:

Ray Waxler (NUT)
John Gilles (UNISON) – Substitute for David Thomas

Officers in Attendance:

David Allen (LBH)
Mary Pattinson (LBH)
Mo Jones (LBH)
Lorraine Hunter-Brown (LBH)

111. APOLOGIES FOR ABSENCE AND ANNOUNCEMENT OF SUBSTITUTE MEMBERS OR OBSERVERS

Apologies were received for the absence of David Denchfield (Primary) , Daniel Gricks (Secondary Academy), Keith Williams (Secondary Academy), John McKernan (Secondary Academy), Trevor Sim (Vulnerable Children), Keith Passingham (NASUWT) and David Thomas (Unison - John Gilles substituting).

112 TO AGREE THE NOTES OF THE MEETING HELD ON 16 JANUARY 2014

The notes of the meeting held on 16th January 2014 were agreed as a correct record and signed by the Chairman.

113 **MATTERS ARISING**

Item 102 – Brookside Junior School

It was noted that following the withdrawal of financial delegation from Brookside Junior School and the establishment of a Strategic Management Board, it was not anticipated that there would be a deficit at the point of conversion to an academy.

114 **MEMBERSHIP**

The Chairman welcomed Mrs Noel McNab to the Forum as representative of the Pupil Referral Service.

It was noted that there were currently vacancies for the following SFF representatives:

Primary School Governors (2)
Special Schools Governor (1)
Primary Academy (1)

115 **INCREASING PENSION COSTS**

The Forum received a presentation from Mo Jones, Pensions Officer on the changes to the Local Government Pension Scheme from 1 April 2014. It was thought that similar changes would follow for teaching staff at a later date but this had not been announced.

The following formed the basis of the changes to the scheme.

- Moving to CARE (Career Average Revalued Earnings) – pensions no longer based on years and days membership
- Definition of Pensionable pay is changing
- New salary bandings for contributions, extended to 9 levels,
- Part timers will pay on their actual pay.
- 50/50 section with full employers' contributions payable
- Retirement date aligned with State Pension age
- All benefits earned up to 31 March 2014 protected including the link to final salary and the current retirement age of 65 for pre 2014 benefits
- Vesting period reverting to 2 years from 3 months
- Able to take benefits from age 55 without employers permission – at a cost

Care Scheme

It was noted that from April 2014, pension accounts would “build” each

year as follows:-

- Pensionable salary X 1/49 = pension bought and each year on 31 March, the pension account is revalued
- Pensionable pay would now include all additional hours (for part timers) and all overtime including non-contractual overtime. Employers' contributions would therefore be paid an ALL pay.

Salary bandings had now been extended to 9 levels so high earners would pay a higher percentage although most teachers would remain at 22%. The pivotal salary was around £43K where earners would be paying more. Part timers would pay on ACTUAL earnings rather than the full time equivalent as previously and it would be the employers' decision as to which band staff fell into.

50/50 Section

- A scheme member could now elect to be in the 50/50 section and pay half the normal % contribution
- They would get half the pension but all other benefits would remain the same as if they were in the main section
- Employers would still pay the full % they would normally pay – even though this has caused “*questions to be asked in the house*”.

Retirement Age

- Retirement age would now be aligned with state pension age
- The link to final salary still existed for all those scheme members with pre 2014 membership
- The right to have a refund of contributions would be increased to 2 years from 3 months, however employers' contributions would only be refunded if the member opted out within the first 3 months.

Taking benefits before retirement age

Scheme members had the right to take reduced benefits from age 55 without their Employers' consent. Reduction factors were still being looked at as protections in place could be lost if the member retired under the age of 60.

Authorised leave without pay

- Mandatory payment of contributions for the first 30 days of any such leave had now gone and was no longer the contributions “that would have been paid”
- It was being replaced with the right of the scheme member to elect to pay for the lost pension
- The cost would be based on “assumed pensionable pay” X 1/49 X factors based on age

- Jury service would come under the same banner as above if the member goes on to “no pay” if on a lengthy court case

Paying for lost pension contributions

Once the cost of buying a lost pension has been calculated, the scheme member then has 30 days to choose to pay the contributions and have the cost shared with the employer. If he/she decides to go ahead with the purchase, the cost is split 1:2 employee/employer. If the scheme member decides to purchase the lost pension outside of the 30 days, they must pay the whole cost themselves. A person could no longer choose to pay such contributions after they have left employment

Sickness with no pay

Employer's contributions were now payable for any period where staff were off sick and out of sick pay. This was not an option. Contributions would be calculated using “assumed pensionable pay” (APP). APP would be the figure to be used going forward to base employers' payment of contributions for any periods of reduced or “no pay” including child related leave, reserve forces leave and authorised unpaid leave. APP would be calculated as an average of the 3 months' pay in the 3 preceding months to the monthly pay reduced to zero pay.

Industrial Action

The scheme member would have an open ended time limit now in which to decide to pay for the “lost pension”. The pension would be bought by paying in the same way as any other “lost pension” scenario. It was noted that the employer would not pay towards this even if the member had made the decision within 30 days of receiving the cost information.

What the employer pays for

- All scheme members who are active and receiving pay
- Any member on “relevant” child related leave such as ordinary maternity, paternity or adoption leave
- Any active scheme member off sick receiving no pay
- 2/3rds of the cost of any period of authorised unpaid leave (including maternity, paternity, adoption and jury leave) where the member had elected within 30 days to buy the lost pension

What the employer does not pay for

- Any period of authorised unpaid leave (including maternity, paternity and adoption leave) where the member had NOT elected within 30 days to buy the lost pension
- Any period of industrial action even if the member wants to buy the lost pension

- During the period any active member is on reserve forces leave – even if the employer does continue to pay “some pay” – this is because the Ministry of Defence pays the employers contribution

It was noted that the following should be reported to the pension team:

- All new joiners to the scheme
- Scheme members electing to pay in 50/50 section
- Scheme members going onto reduced or zero sick pay
- Scheme members going on maternity, paternity, adoption or authorised unpaid leave including career breaks etc.
- Scheme members going on reserve forces leave
- Scheme members returning from any of the items above
- Any one taking part in industrial action and when they ceased
- Any one returning from jury service
- Any change of contractual hours
- For leavers, a pensionable pay figure for post 2014 membership, and if applicable, a “pen rem” for pre 2014 membership

Members of the Forum were advised that, upon request, an officer from the pension’s team would visit and speak to non-teaching staff at their respective schools. Members were further advised that the Authority had set up a pension’s website and that information packs were also available.

The Chairman thanked the officer for the report and commented that all non-teaching staff should have the necessary information prior to any meetings being arranged. It was agreed that meetings should be organised around school hours and within localities.

The Forum was asked to note the 2.3% (from 14.1% to 16.4%) increase in teachers’ pensions for employers’ contributions from September 2015. Schools had already been advised of this. Salary calculators were being adjusted to account for the increase.

116 RISE PARK JUNIOR SCHOOL

It was noted that the local authority had used its powers of intervention under section 66 of the Education Inspections Act 2006 to suspend the right of the Rise Park Junior School Governing body to a delegated budget and that a Strategic Management Board had been established to oversee all finances at the school.

117 TRADE UNION FACILITY TIME

Members noted the report to the Schools Funding Forum following two meetings held by the Trade Union Facility Time Working Group on 14 February and 5 March 2014.

The DfE had issued non statutory advice to school leaders, governing bodies, employers and employees following the call for evidence during the

autumn term. The main points of the full document were outlined within the report including the list of paid and unpaid activities during working hours, management of facility time as well as accountability to employers and/or managers.

It was acknowledged that trade union work had grown in the borough at a cost of £5.70 per pupil. This was one of the highest rates nationally.

Secondary schools where time off was allowed for school based trade union representatives was costing, on average £7,500. Added to the £5,000 contribution to the central pool the total cost was £12,500. Primary schools did not allocate in-school facility time to the same extent as secondary schools and were content with the current arrangements of de-delegation.

It was noted that two academies had decided not to pay into the central pool. Unions would be advised to withdraw support

Following a discussion, the Schools Funding Forum unanimously agreed to implement the following recommendations as from September 2014:

1. Recommendations

- (i) Facility time for school based representatives to be used as follows:

All meetings with head teachers and management as well as meetings in which representatives support members (e.g. disciplinary, capability meetings) must be held in timetabled time (not lunchtime or before or after school).

All meetings which take place in non-contact time must be compensated with equivalent non-contact time. Reasonable time off for training should be allowed.

- (ii) The current allocation of facility time to the trade unions is changed to bring it in line with membership as follows:

	Current FTE	Membership	Revised FTE
NUT	1.2	1,294	1.42
NASUWT	0.9	726	0.80
ATL	0.5	410	0.45
VOICE	0.19	150	0.16
NAHT	0.1525	100	0.109
ASCL	0.0575	63	0.069
TOTAL	3.00		3.00

This was subject to further reductions as (iii) below.

Support staff

Unison to remain at 0.5 FTE and consideration given to the allocation of facility time for GMB.

- (iii) As a first step towards reducing the costs, charges to be based on £4 per pupil including 6th form pupils. The impact on de-delegation for maintained schools and the contribution from academies were circulated as a confidential paper. This would reduce the facility time allowed for each trade union as follows:

	Current FTE	Revised based on Membership	Reduced FTE based on £4 per pupil
NUT	1.2	1.42	1.13
NASUWT	0.9	0.80	0.63
ATL	0.5	0.45	0.36
VOICE	0.19	0.16	0.13
NAHT	0.1525	0.109	0.09
ASCL	0.0575	0.069	0.05
TOTAL	3.00	3.00	2.39

- (iv) Reduce the budget by £11,250 that provides additional days for each trade union. This is currently as set out below but the recommendation is to remove this additional allowance.

	Days	Additional Days	Total Days
NUT	5	15	20
NASUWT	5	15	20
ATL	5	12	17
VOICE	5	0	5
NAHT	5	3	8
ASCL	5	0	5
TOTAL	30	45	75

- (v) From 2014-15 Schools Forums would be required to publish decisions made in funding facility time and academies would need to include details of facility time spending as part of their published financial statements.

A monitoring report would therefore need to be devised to capture traded union activities undertaken and an account of total hours spent under agreed headings.

The Chairman concluded that the matter should be reviewed again in twelve months' time.

118 FREE SCHOOL MEALS FOR KS1 PUPILS

It was confirmed that non-statutory advice had now been received from the DfE which was attached as Appendix C. The advice was aimed at school leaders and governing bodies in all maintained schools, academies, free schools, pupil referral units and alternative provision with children in reception, year 1 and year 2.

In the 2014 to 2015 academic year, local authority schools would be paid funds at a flat rate of £2.30 for each meal taken by newly eligible pupils. Initial provisional allocations would be based on an estimate of national take-up and then adjusted once the department had details of the actual number of meals taken in individual schools.

Additional one-off funding would be provided in the 2014 to 2015 financial year to small schools (those with fewer than 150 pupils in total) to help with transitional costs.

From September 2014 all pupils in reception, year 1 and year 2 in state-funded schools in England would become eligible for free school meals (FSMs). This would include academies, free schools, pupil referral units and alternative provision as well as maintained schools.

The grant allocated to Havering for capital projects (transition costs) was £642k however the costs were likely to exceed that. A survey was being undertaken of the costs of items such as new ovens, ventilation equipment, additional storage spaces, counter works and hot trolleys. In addition, more major works would have to be carried out where facilities were non-existent. On the basis of current figures, there was a shortfall of £123k which excluded asbestos survey work which was an additional unknown cost.

119 SCHOOL LUNCH GRANT

Members of the Forum were advised that the three year period for the school lunch grant had ended. There could continue to be a charge for the school lunch grant with monies utilised to address the shortfall discussed in the previous item. However, the capital needs in schools were spread unevenly and it would not be appropriate for a direct charge to be made to schools to meet the needs in other schools. In previous years, the Forum had agreed to fund shortfalls in wider capital projects costs from the DSG and it was possible to do this from an anticipated underspend to be carried forward into 2014-15.

The Forum agreed in principal to fund the shortfall from the anticipated DSG carried forward.

The Chairman reminded the Forum that, as of 2016, free school meals would be rolled out to Key Stage 2 pupils and that this would have a bigger impact on schools affecting kitchens, dining halls and lunch periods.

120 **SOCIAL INCLUSION FUNDING TO SUPPORT VULNERABLE CHILDREN**

The Forum noted and approved the protocols to support schools following the placement of pupils through the IYFAP process as outlined in Appendix D.

121 **ACADEMY FUNDING 2014-2015 AND RISK POOLING SCHEME**

The Forum noted the changes in EFA academy funding as outlined in Appendix E. The new protection arrangements would only apply to existing academies and that the payment profile for academies and free schools was currently being revised in order to achieve parity. Newer academies were funded on the LA formula with no protections.

Members of the Forum were asked to note the new Academy and Free School risk pooling scheme for 2014/2015.

122 **FAIRER FUNDING**

The Forum noted the consultation document issued by the DfE on fairer schools funding 2015/2016. Members were advised that for many years Havering had been the second lowest funded borough for education within London. Officers had reviewed all previous funding data from 2013/2014 and felt that Havering was being subjected to unfair treatment.

It was therefore agreed that officers would put forward a case for Havering to receive additional funds with the full support of the Schools Funding Forum.

123 **NEXT MEETINGS**

It was noted that the next meeting would be held on 24 April 2014 at CEME.

124 **ANY OTHER BUSINESS**

The Chairman extended his thanks to Christine Drew for her contributions to the Schools Funding Forum and on behalf of all members wished her well in her retirement.

Chairman

This page is intentionally left blank

School Representation on the Schools Funding Forum

School representatives as at June 2014			
	Head Teachers	Governors	Total
Primary Maintained	6	3	9
Primary Academies	1	0	1
Secondary Maintained	1	1	2
Secondary Academies	3	2	5
Total	11	6	17

January 14 census	
Primary Maintained	19,392
Primary Academies	1,277
Secondary Maintained	2,779
Secondary Academies	13,554
Total	37,002

Current Apportionment of pupils per representative			
Primary Maintained	19,392	9	2,155
Primary Academies	1,277	1	1,277
Secondary Maintained	2,779	2	1,390
Secondary Academies	13,554	5	2,711
Total	37,002	17	

Current apportionment between primary and secondary			
Primary	20,669	10	2,067
Secondary	16,333	7	2,333
Total	37,002	17	

Assuming 3 more Primary Academies from Sept 14			
Primary Maintained	18,696	9	2,077
Primary Academies	2,013	1	2,013
Secondary Maintained	2,779	2	1,390
Secondary Academies	13,554	5	2,711
Total	37,042	17	

Current apportionment between primary and secondary			
Primary	20,709	10	2,071
Secondary	16,333	7	2,333
Total	37,042	17	

Reduction of 1 primary maintained rep			
Primary Maintained	19,392	8	2,424
Primary Academies	1,277	1	1,277
Secondary Maintained	2,779	2	1,390
Secondary Academies	13,554	5	2,711
Total	37,002	16	

Current apportionment between primary and secondary			
Primary	20,669	9	2,297
Secondary	16,333	7	2,333
Total	37,002	16	

Reduction of 1 primary maintained reps			
Primary Maintained	18,696	8	2,337
Primary Academies	2,013	1	2,013
Secondary Maintained	2,779	2	1,390
Secondary Academies	13,554	5	2,711
Total	37,042	16	

Apportionment between primary and secondary			
Primary	20,709	9	2,301
Secondary	16,333	7	2,333
Total	37,042	16	

Alternative

Increase of 1 secondary academy rep			
Primary Maintained	19,392	9	2,155
Primary Academies	1,277	1	1,277
Secondary Maintained	2,779	2	1,390
Secondary Academies	13,554	6	2,259
Total	37,002	18	

Current apportionment between primary and secondary			
Primary	20,669	10	2,067
Secondary	16,333	8	2,042
Total	37,002	18	

Increase of 1 secondary academy rep			
Primary Maintained	18,696	9	2,077
Primary Academies	2,013	1	2,013
Secondary Maintained	2,779	2	1,390
Secondary Academies	13,554	6	2,259
Total	37,042	18	

Apportionment between primary and secondary			
Primary	20,709	10	2,071
Secondary	16,333	8	2,042
Total	37,042	18	

1.13

1.02

0.99

1.13

1.01

This page is intentionally left blank

**Schools Partnerships Funding
Use of Funds 2013-14**

School Receiving Support	Support	Supporting School	Supported School £	Supporting School £
Benhurst Primary	Coaching partnership with specified teachers in EYFS to improve the quality of teaching with the result that teaching moves to consistently good	Branfil Primary	5,000	15,000
Brookside Junior	Partnership support provided	Scotts Primary	0	7,050
Brookside Junior	Partnership support provided	Engayne Primary	0	201
Elm Park Primary	Teachers in Years 1 and 2 Coaching model with specified teachers or year groups to improve the quality of English and maths teaching with the result that teaching moves to consistently good	The Mawney School	5,000	10,000
Harold Court Primary	Coaching partnership with specified teachers in EYFS and Yr 1 to improve the quality of teaching with the result that teaching moves to consistently good	St Ursula's RC Infant	5,000	15,000
Harold Court Primary	Towards Early Years provision – not fit for purpose on handover to new HT		12,000	0
Harold Wood Primary	For staff training		6,000	0
Hylands Primary	Coaching partnership with specified teachers in KS 1 to improve the quality of teaching with the result that teaching moves to consistently good	Towers Infant	5,000	15,000

Langtons Junior	Support provided	Mawney	0	125
Rise Park Junior	Matched LA funding cost of consultant to improve impact of SLT Coaching model with specified teachers or year groups to improve the quality of English and maths teaching with the result that teaching moves to consistently good	Consultant's fees for Autumn term 2013 St. Ursula's RC Junior	4,400 5,000	15,000
Rise Park Junior	Support the costs of the interim Head Teacher and training programmes for staff.		34,000	0
Parklands Junior	Staff Training		6,000	0
Scotts Primary	For staff training		5,000	0
Suttons Primary	For staff training		6,000	0
The James Oglethorpe Primary	Observation and coaching partnership good to outstanding Access to outstanding teaching programme	Branfil Primary	5,000	15,000
Towers Junior	Coaching partnership with specified teachers to improve the quality of English and maths teaching with the result that teaching moves to consistently good	Ardleigh Green Junior	5,000	15,000
Wykeham Primary	Coaching partnership with specified teachers in Yr 1 to improve the quality of English and maths teaching with the result that teaching moves to consistently good	Parklands Infant	5,000	15,000
TOTAL			113,400	165,000
			235,766	

Agenda Item 6

APPENDIX D

Schools Funding Forum 13th June 2014

Use of Pupil Growth Fund in 2013-14

	£
Permanent expansions - Funding of 315 additional places (10.5 forms of entry) in September 13	502,150
Funding of bulge classes for 465 pupils (7 full F.E. and 17 half f.e.) in September 2013	806,875
Funding of 4 schools expanded permanently in previous years	136,950
Allocations to meet infant class size regulations	272,579
Previous year growth in secondary schools and academies	90,277
	<u>1,808,831</u>
Budget	1,700,000
Overspend	108,831

This page is intentionally left blank

Agenda Item 7

APPENDIX E

Schools Funding Forum 13th June 2014

USE OF DSG CARRY FORWARD FROM 2013-14

The carry forward balance from centrally retained DSG from 2012-13 into 2014-15 is £1.689m.

Proposals for allocation of this one-off amount are as set out below.

	£000
Allocation to 3 schools of funding to meet imbalance between budget and cash	120
Additional funding to accommodate in year pupil growth	150
Falling rolls fund	150
Prior year Business Rates adjustments	150
SEND Reforms	125
Contribution to pension deficit	300
UIFSM	100
Distribution to schools	594
Total	1,689

This page is intentionally left blank

Schools Funding Forum 13th June 2014

School revenue balances 2013-14

School	Total income £	Balance £	Bal %
Ardleigh Green Infant School	1,111,438	83,224	7.5
Ardleigh Green Junior School	1,586,376	154,798	9.8
Benhurst Primary School	1,405,361	60,919	4.3
Brady Primary School	989,433	13,582	1.4
Branfil Primary School	1,880,559	45,887	2.4
Broadford Primary School	1,874,887	21,104	1.1
Brookside Infant School	1,255,444	55,178	4.4
Brookside Junior School	1,220,417	39,145	3.2
Clockhouse Primary School	3,026,564	391,925	12.9
Crowlands Primary School	2,696,101	248,790	9.2
Crownfield Infant School	1,196,106	196,669	16.4
Crownfield Junior School	1,439,310	127,345	8.8
Dame Tipping C.E. Primary School	588,091	29,622	5.0
Elm Park Primary School	2,023,125	40,878	2.0
Engayne Primary School	2,595,976	390,648	15.0
Gidea Park Primary School	1,587,133	321,442	20.3
Hacton Primary School	1,990,752	153,488	7.7
Harold Court Primary School	1,438,089	139,414	9.7
Harold Wood Primary School	1,720,880	123,746	7.2
Hilldene Primary School	3,438,370	319,410	9.3
Hylands Primary School	2,059,759	443,442	21.5
La Salette Catholic Primary School	889,579	63,525	7.1
Langtons Infant School	1,109,288	84,892	7.7
Langtons Junior School	0	0	
Mead Primary School	2,766,629	338,952	12.3
Nelmes Primary School	1,835,997	172,137	9.4
Newtons Primary School	1,729,278	83,914	4.9
Parklands Infant School	1,746,597	209,045	12.0
Parklands Junior School	2,025,214	277,256	13.7
Parsonage Farm Primary School	1,899,354	569,851	30.0
Pyrgo Priory School	2,145,896	49,818	2.3
Rainham Village Primary School	2,010,183	157,101	7.8
Rise Park Infant School	966,040	52,218	5.4
Rise Park Junior School	1,131,734	36,930	3.3
Scargill Infant School	1,048,389	52,495	5.0
Scargill Junior School	1,237,911	125,327	10.1
Scotts Primary School	962,639	121,303	12.6
Squirrels Heath Infant School	1,217,744	149,721	12.3
Squirrels Heath Junior School	1,499,809	198,360	13.2
St. Alban's Catholic Primary School	1,010,458	40,343	4.0
St. Edward's CE Primary School	2,695,111	333,329	12.4

School	Total income £	Balance £	Bal %
St. Joseph's Catholic Primary School	1,608,975	61,434	3.8
St. Mary's Catholic Primary School	1,641,858	37,318	2.3
St. Patrick's Catholic Primary School	1,456,082	455,862	31.3
St. Peter's Catholic Primary School	875,759	26,330	3.0
St. Ursula's Catholic Infant School	1,000,201	101,076	10.1
St. Ursula's Catholic Junior School	1,138,234	61,773	5.4
Suttons Primary School	1,004,841	233,758	23.3
The James Oglethorpe Primary School	1,345,415	77,315	5.7
The Mawney Primary School	1,561,345	185,219	11.9
The R J Mitchell Primary School	1,231,916	163,762	13.3
Towers Infant School	1,025,097	3,477	0.3
Towers Junior School	1,034,037	110,660	10.7
Whybridge Infant School	848,866	23,149	2.7
Whybridge Junior School	1,135,179	30,902	2.7
Wykeham Primary School	1,924,658	71,296	3.7
Primary total	85,884,486	8,160,504	10
Secondary			
Gaynes School & Language College	4,537,105	78,636	1.7
Marshalls Park School	5,347,874	67,107	1.3
The Royal Liberty School	3,804,967	209,740	5.5
The Sanders Draper School	5,157,214	352,193	6.8
Secondary total	18,847,161	707,676	4
Special			
Corbets Tey School	2,408,003	381,943	15.9
Dycorts School	1,679,654	322,515	19.2
Ravensbourne School	2,351,696	120,030	5.1
Special total	6,439,353	824,488	12.80
PRU			
Havering Pupil Referral Unit	2,888,401	166,922	5.8
	2,888,401	166,922	5.8
Grand Total	111,170,999	9,859,590	9



Name: David Allen
Designation: Strategic Finance Manager

Department: Learning & Achievement
London Borough of Havering
Mercury House
Mercury Gardens
Romford
RM1 3DW

M
Head Teacher
School

Telephone: 01708 433851
Fax: 01708 433883
email: david.allen@havering.gov.uk
Textphone: 01708 433175
Date: 2014

Sent via Email

Dear M

I am writing to request some further detail from you on the school's plans to spend its balance carried forward from 2013-14.

Balance: £
% carried forward: %

The year end balances at your school are consistently above the recommended limit of 8% for a primary school.

Balances c/fwd from:

2012-13	£	%
2011-12	£	%
2010-12	£	%

Therefore, if the school is completing its plans to spend the balance carried forward, it is still managing to accumulate a surplus above any contingency that is included in the initial budget plan. I would be interested in your views on the degree of forward planning at your school that anticipates an underspend during the year as opposed to committing to spend a balance once it arises.

In relation to this, if your plans to spend the balance carried forward from 2013-14 are all completed, do you still anticipate a further balance to carry forward in 2015-16?

Your returns to the LA on use of the 2013-14 and 2012-13 balances are attached for information.

In support of the current year commitments I am requesting the following information from you.

1. The month(s) in which expenditure is to be incurred on the various projects and plans
2. Evidence of governor approval of these plans
3. Copies of relevant extracts from your Asset Management Plan or School Improvement Plan
4. If your commitments include capital works, an explanation of planned use of devolved capital
5. Any funding held on behalf of other schools

I should be grateful for this information to be returned to me by 27th June 2014.

Yours sincerely

David Allen
Strategic Finance Manager

This page is intentionally left blank

Schools Funding Forum 13th June 2014

DEDICATED SCHOOLS GRANT SETTLEMENT 2014-15

	Schools Block per-pupil Unit of Funding (£)	Schools Block allocation (£m)	Early Years Block per-pupil Unit of Funding (£)	Early Years Block allocation (£m)	High Needs Block allocation (£m)	Total additions and cash floor (£m)	Total DSG allocation (£m)
2014-15	4,726.54	163.122	3,979.94	8.784	18.875	3.154	193.935
Change since January		0.000		0.271	0.547	0.000	0.818

2013-14	4,726.54	160.641	3,979.94	8.513	18.038	2.403	189.595
---------	----------	---------	----------	-------	--------	-------	---------

Notes

1. The above Schools Block figures are pre academy recoupment
2. Early Years funding is based on final January 2014 Census and will be revised following the January 2015 Census.
3. The High Needs Block includes additional funding for growth following a bid to the EFA by the LA

Further Breakdown of additions and cash floors

Funding for increased target for 2 year old free entitlement

2 year old trajectory estimate

Transition funding following ending of 90% protection for Early Years

NQT funding

Share of £7m pre paid to NMSS
(in 2014/15 identified in High Needs block)

Deduction for carbon reduction scheme

	2013-14	2014-15
	2.403	3.154
	1.522	2.985
	0.598	0.351
	0.192	0.000
	0.052	0.052
	0.040	
	0.000	-0.235
	2.403	3.154

Further Breakdown of High Needs Block

2013-14 High Needs Block, excluding post-16 high needs funding

Post-16 high needs funding

High Needs Growth (LA growth agreed by EFA)

Share of £7m pre paid to NMSS
(in 2013/14 identified in Additions and Cash floor)

	2013-14	2014-15
	18.038	18.875
	16.964	17.050
	0.987	1.238
	0.087	0.547
		0.040
	18.038	18.875

This page is intentionally left blank

LA Table: FUNDING PERIOD (2014-15)

APPENDIX I

Department for Education Section 251 Financial Data Collection

Report produced on 20/05/2014 16:45:41

Local Authority 311 Havering

Description	Early Years	Primary	Secondary	SEN/ Special Schools	AP/ PRUs	Post School	Gross	Income	Net
1.0.1 Individual Schools Budget (before Academy recoupment)	11,488,671	77,400,847	81,001,418	2,790,000	1,072,000		173,752,936		173,752,936
1.1.1 Contingencies		158,830	23,850				182,680	0	182,680
1.1.2 Behaviour support services		209,940	0				209,940	0	209,940
1.1.3 Support to UPEG and bilingual learners		257,740	0				257,740	0	257,740
1.1.4 Free school meals eligibility		17,571	3,269				20,840	0	20,840
1.1.5 Insurance		528,415	42,041				570,456	0	570,456
1.1.6 Museum and Library services		0	0				0	0	0
1.1.7 Licences/subscriptions		37,090	5,590				42,680	0	42,680
1.1.8 Staff costs supply cover		260,530	39,120				299,650	0	299,650
1.1.9 Staff costs – supply cover or facility time		105,947	15,903				121,850	0	121,850
1.2.1 Top up funding - maintained providers	0	2,064,927	478,831	3,406,713	1,557,260		7,507,731	0	7,507,731
1.2.2 Top up funding - Academies and Free Schools	0	44,862	885,546	0	0	725,800	1,656,208	0	1,656,208
1.2.3 Top up funding - independent providers	0	0	0	1,350,280	0	1,260,165	2,610,445	0	2,610,445
1.2.4 Additional high needs targeted funding for mainstream schools and academies	0	0	0				0	0	0
1.2.5 SEN support services	582,954	701,967	289,196	58,064	13,569	0	1,645,750	0	1,645,750
1.2.6 Hospital education services				0	78,950		78,950	0	78,950
1.2.7 Other alternative provision services	0	4,214	279,723	1,053	178,370	0	463,360	0	463,360
1.2.8 Support for inclusion	0	0	70,810	0	0		70,810	0	70,810
1.2.9 Special schools and PRUs in financial difficulty				0	0		0	0	0
1.2.10 PFI and BSF costs at special schools				0	0		0	0	0

Description	Early Years	Primary	Secondary	SEN/ Special Schools	AP/ PRUs	Post School	Gross	Income	Net
1.2.11 Direct payments (SEN and disability)	0	0	0	0	0	0	0	0	0
1.2.12 Carbon reduction commitment allowances (PRUs)					0		0	0	0
1.3.1 Central expenditure on children under 5	360,389						360,389	0	360,389
1.4.1 Contribution to combined budgets	0	216,000	20,000	0	0		236,000	0	236,000
1.4.2 School admissions	0	306,010	143,982	7,858	0		457,850	0	457,850
1.4.3 Servicing of schools forums	1,015	23,890	17,873	325	127		43,230	0	43,230
1.4.4 Termination of employment costs	926	21,783	16,296	300	115		39,420	0	39,420
1.4.5 Falling Rolls Fund	0	0	500,000	0	0		500,000	0	500,000
1.4.6 Capital expenditure from revenue (CERA)	0	0	262,490	0	0		262,490	175,000	87,490
1.4.7 Prudential borrowing costs	0	0	0	0	0		0	0	0
1.4.8 Fees to independent schools without SEN	0	0	0	0	0		0	0	0
1.4.9 Equal pay - back pay	0	0	0	0	0		0	0	0
1.4.10 Pupil growth/ Infant class sizes	0	2,250,000	150,000	0	0		2,400,000	0	2,400,000
1.4.11 SEN transport	0	0	0	0	0	0	0	0	0
1.4.12 Exceptions agreed by Secretary of State	0	58,544	43,642	2,129	2,129	0	106,444	0	106,444
1.4.13 Other Items	0	0	0	0	0	0	0	0	0
1.5.1 Other Specific Grants	0	0	0	0	0	0	0	0	0
1.6.1 TOTAL SCHOOLS BUDGET (before Academy recoupment)	12,433,955	84,669,107	84,289,580	7,616,722	2,902,520	1,985,965	193,897,849	175,000	193,722,849
1.7.1 Estimated Dedicated Schools Grant for 2014-15							193,499,849		
1.7.2 Dedicated Schools Grant brought forward from 2013-14							0		
1.7.3 Dedicated Schools Grant brought to 2015-16							0		
1.7.4 EFA funding							223,000		
1.7.5 Local Authority additional contribution							0		
1.7.6 Total funding supporting the Schools Budget (lines 1.7.1 to 1.7.5)							193,722,849		
1.8.1 Academy: recoupment from the Dedicated Schools Grant (please show any recoupment from the DSG as a negative in the cell)							-70,229,923		

Description	Early Years	Primary	Secondary	SEN/ Special Schools	AP/ PRUs	Post School	Gross	Income	Net
2.0.1 Therapies and other health related services							0	0	0
2.0.2 Central support services							141,290	0	141,290
2.0.3 Education welfare service							435,871	0	435,871
2.0.4 School improvement							489,874	0	489,874
2.0.5 Asset management - education							152,290	0	152,290
2.0.6 Statutory/ Regulatory duties - education							1,296,164	0	1,296,164
2.0.7 Premature retirement cost/ Redundancy costs (new provisions)							0	0	0
2.0.8 Monitoring national curriculum assessment							61,000	0	61,000
2.1.1 Educational psychology service							835,108	60,000	775,108
2.1.2 SEN administration, assessment and coordination and monitoring							421,670	0	421,670
2.1.3 Parent partnership, guidance and information							91,564	0	91,564
2.1.4 Home to school transport: SEN transport expenditure(0 - 25)	0	121,728	121,728	1,008,566	0	121,728	1,373,750	0	1,373,750
2.1.5 Home to school transport: Other home to school transport expenditure	0	86,110	64,960	0	0	0	151,070	0	151,070
2.1.6 Supply of school places							224,890	0	224,890
2.2.1 Young people's learning and development			69,212	29,598	0		98,810	0	98,810
2.2.2 Adult and Community learning							1,442,096	1,372,610	69,486
2.2.3 Pension costs							610,223	0	610,223
2.2.4 Joint use arrangements							0	0	0
2.2.5 Insurance							0	0	0
2.3.1 Other Specific Grant							0	0	0
2.4.1 Total Other education and community budget							7,825,670	1,432,610	6,393,060
3.0.1 Funding for individual Sure Start Children's Centres							2,795,994	0	2,795,994
3.0.2 Funding for local authority provided or commissioned area wide services delivered through Sure Start Children's Centres							0	0	0
3.0.3 Funding on local authority management costs relating to Sure Start Children's Centres							184,570	0	184,570
3.0.4 Other early years funding							835,270	0	835,270

Description	Early Years	Primary	Secondary	SEN/ Special Schools	AP/ PRUs	Post School	Gross	Income	Net
3.0.5 Total Sure Start Children's Centres and Early Years Funding							3,815,834	0	3,815,834
3.1.1 Residential care							2,122,365	261,644	1,860,721
3.1.2 Fostering services							5,407,914	0	5,407,914
3.1.3 Adoption services							483,631	0	483,631
3.1.4 Special guardianship support							714,566	0	714,566
3.1.5 Other children looked after services							1,367,514	0	1,367,514
3.1.6 Short breaks (respite) for looked after disabled children							290,382	15,662	274,720
3.1.7 Children placed with family and friends							263,320	0	263,320
3.1.8 Education of looked after children	0	60,250	45,188	2,152	0		107,590	0	107,590
3.1.9 Leaving care support services							734,376	0	734,376
3.1.10 Asylum seeker services children							101,788	0	101,788
3.1.11 Total Children Looked after	0	60,250	45,188	2,152	0		11,593,446	277,306	11,316,140
3.2.1 Other children and families services							1,236,130	0	1,236,130
3.3.1 Social work (including LA functions in relation to child protection)							6,580,766	120,000	6,460,766
3.3.2 Commissioning and Children's Services Strategy							1,489,608	0	1,489,608
3.3.3 Local Safeguarding Children Board							260,882	44,802	216,080
3.3.4 Total Safeguarding Children and Young People's Services							8,331,256	164,802	8,166,454
3.4.1 Direct payments							468,670	0	468,670
3.4.2 Short breaks (respite) for disabled children							502,361	0	502,361
3.4.3 Other support for disabled children							0	0	0
3.4.4 Targeted family support							1,506,470	600,000	906,470
3.4.5 Universal family support							0	0	0
3.4.6 Total Family Support Services							2,477,501	600,000	1,877,501
3.5.1 Universal services for young people							1,817,037	291,740	1,525,297
3.5.2 Targeted services for young people							481,519	14,250	467,269
3.5.3 Total Services for young people							2,298,556	305,990	1,992,566

Description	Early Years	Primary	Secondary	SEN/ Special Schools	AP/ PRUs	Post School	Gross	Income	Net
3.6.1 Youth justice							828,740	299,556	529,184
4.0.1 Capital Expenditure from Revenue (CERA) (Non-schools budget functions and Children's and young people services)							0	0	0
5.0.1 Total Schools Budget and Other education and community budget (excluding CERA) (lines 1.6.1 and 2.4.1)							201,723,519	1,607,610	200,115,909
5.0.2 Total Children and Young People's Services and Youth Justice Budget (excluding CERA)(lines 3.0.5 + 3.1.11 + 3.2.1 + 3.3.4 + 3.4.6 + 3.5.3 + 3.6.1)							30,581,463	1,647,654	28,933,809
6 Total Schools Budget, Other education and community budget, Children and Young People's Services and Youth Justice Budget (excluding CERA) (lines 5.0.1 + 5.0.2)							232,304,982	3,255,264	229,049,718
Capital Expenditure (excluding CERA)	422,000	32,691,858	245,717	322,274	34,302		33,716,151	0	33,716,151
8a.1 Substance misuse services (Drugs, Alcohol and Volatile substances) (included in 3.5.1 and 3.5.2 above)							0	0	0
8a.2 Teenage pregnancy services (included in 3.5.1 and 3.5.2 above)							0	0	0

This page is intentionally left blank

EY Pro Forma Table: FUNDING PERIOD (2014-15)

Department for Education Section 251 Financial Data Collection

LEA 311 Havering

	Description	Unit Value (£)			Unit Applied
		PVI	Nursery School	Primary Nursery Class	Unit Type
1. EYSFF (three and four year olds) Base Rate(s) per hour, per provider	Base Rate - Maintained School Settings			4.03	PerHour
	Base Rate - Private & Voluntary Settings	3.49			PerHour
	Base Rate - Independent & Academy Settings	4.03			PerHour
2a. Supplements: Deprivation	Deprivation (IDACI Band 2)	0.37		0.37	PerHour
	Deprivation (IDACI Band 3)	0.57		0.57	PerHour
	Deprivation (IDACI Band 4)	0.97		0.97	PerHour
	Deprivation (IDACI Band 5)	1.35		1.35	PerHour
	Deprivation (IDACI Band 6)	1.75		1.75	PerHour
	2b. Supplements: Quality	Green (Outstanding) Quality Rating	0.39		0.39
Amber (Good) Quality Rating		0.29		0.29	PerHour
2c. Supplements: Flexibility	No budget lines entered				
2d. Supplements: Sustainability	No budget lines entered				
3. Other formula	No budget lines entered				
4. Additional funded free hours	No budget lines entered				
TOTAL FUNDING FOR EARLY YEARS SINGLE FUNDING FORMULA (3s)					
5. Two year old Base Rate(s) per hour, per provider type	Base Rate - All Settings (2 year old)	6.00			PerHour
6a. Two year old supplements Quality	No budget lines entered				
6b. Other supplements	No budget lines entered				
TOTAL FUNDING FOR EARLY YEARS SINGLE FUNDING FORMULA					
7a. Early years contingency funding 2 year olds	No budget lines entered				
7b. Early years contingency funding 3 & 4 year olds	Provision from unallocated grant for early education places for 3-year-olds (in-year intake)				
TOTAL FUNDING FOR CENTRAL EXPENDITURE					
8a. Early years centrally retained spending 2 year olds	Central Expenditure to support 2 to 4 year old provision				
8b. Early years centrally retained spending 3 & 4 year olds	No budget lines entered				

EARLY YEARS BREAKDOWN OF FINANCIAL RESPONSIBILITY OF DSG BUDGET

This is the breakdown of the Early Years DSG Block expenditure as per the DfE publication of 31st March 2014 final published allocations.

The allocation below has been updated and estimated to take account of the January 2014 School Census and Early Years Census, which is subject to confirmation in the DfE July 2014 publication.

3-4 year old Early Years DSG Block Allocation	£8,783,727
2 year old DSG Allocation	£3,305,972
Total Early Years DSG Allocation	£12,089,699

1. 2 year old

a) Private, Voluntary and Independent Providers and Mainstream Schools Nursery Provision

Element	Description	Funding Responsibility
Base Rate	£6.00 per hour	LBH

Havering Budget for Raising the participation age (2 year)	£3,304,688
--	------------

2. 3 and 4 year old

a) Private, Voluntary and Independent Providers and Mainstream Schools Nursery Provision

Element	Rate	Funding Responsibility
Base Rate	Independent and Mainstream Schools £4.03 per hour	LBH
	Private & Voluntary Providers £3.49 per hour	
Deprivation	IDACI Band 2 £0.37 per hour	
	IDACI Band 3 £0.57 per hour	
	IDACI Band 4 £0.97 per hour	
	IDACI Band 5 £1.35 per hour	
	IDACI Band 6 £1.75 per hour	
Quality Rating	Outstanding £0.39 per hour	
	Good £0.29 per hour	

Havering Base Rate Budget	£7,180,229
---------------------------	------------

Havering Deprivation Rate Budget	£500,788
----------------------------------	----------

Havering Quality Rate Budget	£502,968
------------------------------	----------

3. Other Early Years Expenditure

a) Early Years Contingency

Havering Budget £297,306

Provision for:

- Termly adjustment
-

b) Other Central Expenditure

Havering Budget for Early Years support £303,720

Provision for:

- Early Years Support
 - Early Years Attendance and Behaviour Support
 - Individual Support Special Education Needs and Support
-

HIGH NEEDS FUNDING 2014-15 AND EXPLANATION OF FINANCIAL RESPONSIBILITY

The tables below provide a breakdown of the High Needs Block expenditure from the DfE allocation as at 31st March 2014 plus additional funding following a growth bid by the LA.

For establishments that are not LA Maintained, the SEN place led funding (Element 1 and 2) is recouped by the DfE from the DSG allocation, and the EFA funds the institutions direct.

Total High Needs DSG Allocation	£18,874,478
--	--------------------

1. PRE 16

a) Mainstream Schools (Maintained and Academy Schools)

Element		Description	Funding Responsibility	
			Maintained Schools	Academies
Element 1		AWPU	LBH	EFA
Element 2		First £6,000 of additional need is delegated within the funding formula	LBH	EFA
Element 3	Having Commissioners	Top Up is payable above £6,000 by the commissioning LA	LBH	LBH
	OoB Commissioners		OoB LA	OoB LA
	OoB LAC Commissioners		LBH (LBH recoups from OoB LA)	LBH (LBH recoups from OoB LA)

Having Budget for element 3 top up	£1,914,260
------------------------------------	------------

b) High Needs Units or Resourced Provision in Mainstream Schools (Maintained and Academy Schools)

Element		Description	Funding Responsibility	
			Maintained Schools	Academies
Element 1		£10,000 per place	LBH	EFA
Element 2			LBH	EFA
Element 3	Having Commissioners	Top Up based on support costs above 12 hours	LBH	LBH
	OoB Commissioners		OoB LA	OoB LA
	OoB LAC Commissioners		LBH (LBH recoups from OoB LA)	LBH (LBH recoups from OoB LA)

Having Budget for element 1 & 2 place costs (£10,000 x 95 places)	£950,000
---	----------

Having Budget for element 3 Top Up	£358,815
------------------------------------	----------

c) Special Schools

Element		Description	Funding Responsibility
Element 1		£10,000 per place	LBH
Element 2			LBH
Element 3	Havering Commissioners	Top Up based on support costs according to the Matrix level of support	LBH
	OoB Commissioners		OoB LA
	OoB LAC Commissioners		LBH (LBH recoups from OoB LA)

Havering Budget for element 1 & 2 place costs (£10,000 x 256 places) £2,560,000

Havering Budget for element 3 Top Up £2,659,746

d) Non-Maintained Special Schools

Element		Description	Funding Responsibility
Element 1		£10,000 per place	EFA
Element 2			EFA
Element 3	Havering Commissioners	Top Up is payable above £10,000 by the commissioning LA	LBH

Apr14-Jul-14 - Havering Budget for element 1 & 2 place costs (£3,333 x 15 places) £49,995

Aug14-Mar-15 - Havering Budget for element 1 & 2 place costs (£6,667 x 17 places) £113,339

Havering Budget for element 3 Top Up see section (f)

e) Independent Schools

Element		Description	Funding Responsibility
Element 1		Commissioner pays all costs associated to pupil	LBH
Element 2			LBH
Element 3			LBH

Havering Budget for Element 1 & 2 & Element 3 Top Up see section (f)

f) SEN Pre 16 Top Up

Havering Budget for Top Up (sections (d) to (e) above) £1,150,280

2. POST 16

a) Mainstream Schools (Maintained and Academy Schools)

Element		Description	Funding Responsibility	
			Maintained Schools	Academies
Element 1		Programme Costs	LBH	EFA
Element 2		£6,000 of support costs	LBH	EFA
Element 3	Havering Commissioners	Top Up is payable above Element 2 £6,000 of support costs by the commissioning LA	LBH	LBH
	OoB Commissioners		OoB LA	OoB LA
	OoB LAC Commissioners		OoB LA	LBH (LBH recoups from OoB LA)

Apr14-Jul-14 - Havering Budget for element 2 support costs (£2,000 x 5 places) £10,000

Aug14-Mar-15 - Havering Budget for element 2 support costs (£4,000 x 4 places) £16,000

Havering Budget for element 3 Top Up see section (g)

b) Special Schools (Havering and OoB Schools (Other LAs))

Element		Description	Funding Responsibility	
			Havering Schools	OoB Schools
Element 1		Programme Costs	LBH	EFA
Element 2		£6,000 of support costs	LBH	EFA
Element 3	Havering Commissioners	Top Up based on support costs according to the Matrix level of support	LBH	LBH
	OoB Commissioners		OoB LA	OoB LA
	OoB LAC Commissioners		LBH (LBH recoups from OoB LA)	OoB LA

Havering Schools – Havering Budget for element 1 & 2 costs (£10,000 x 23) £230,000

Aug14-Mar-15 - OoB Schools - Havering Budget for element 1 & 2 costs (£6,667 x 1) £6,667

Havering Schools - Havering Budget for element 3 Top Up £289,498

Aug14-Mar-15 - OoB Schools - Havering Budget for element 3 Top Up see section (g)

c) Non-Maintained Special Schools

Element		Description	Funding Responsibility	
Element 1		£10,000 per place	EFA	
Element 2			EFA	
Element 3	Having Commissioners		LBH	
	OoB Commissioners	OoB LA		
	OoB LAC Commissioners	OoB LA		

Apr14-Jul-14 - Havering Budget for element 1 & 2 place costs (£3,659 x 8 places) £29,272

Aug14-Mar-15 - Havering Budget for element 1 & 2 place costs (£6,667 x 7 places) £46,669

Havering Budget for element 3 Top Up see section (g)

d) Independent Schools

Element		Description	Funding Responsibility	
Element 1		Commissioner pays all costs associated to pupil	LBH	
Element 2			LBH	
Element 3			LBH	

Havering Budget for element 1 & 2 & 3 see section (g)

e) Further Education Colleges

Element		Description	Funding Responsibility	
			Current/Known Starters Students	New Students not on Return
Element 1		Programme Costs	EFA	EFA
Element 2		£6,000 of support costs	EFA	LBH
Element 3		Top Up is payable above Element 2 £6,000 of support costs by the commissioning LA	LBH	LBH

Havering Budget for element 2 & 3 see section (g)

f) Post 16 Independent Specialist Providers

		Funding Responsibility
Element	Description	Independent Schools
Element 1	Programme Costs	EFA
Element 2	£6,000 of support costs	EFA
Element 3	Top Up is payable above Element 2 £6,000 of support costs by the commissioning LA	LBH

Having Budget for element 3 see section (g)

g) SEN Post 16 Top up

Having Budget for Top up (sections (a) to (f) above) £1,500,000

3. Alternative Provision

a) Pupil Referral Service

		Funding Responsibility
Element	Description	Maintained Schools
Element 1	£8,000 per place	LBH
Element 2		LBH
Element 3	Having Commissioners	LBH
	OoB Commissioners	OoB LA
	OoB LAC Commissioners	LBH (LBH recoups from OoB LA)

Having Budget for element 1 & 2 place costs (£8,000 x 134 places) £1,072,000

Having Budget for element 3 top up £1,548,027

b) Hospital Education

Having Budget £78,950

c) Alternative Provision Central Support

Having Budget £104,490

d) Home Education Central Support

Having Budget £23,200

e) Inclusion Service Central Support

Havering Budget £70,810

f) Social Inclusion Support

Havering Budget £273,520

g) PRU Transport

Havering Budget £73,880

4. Other High Needs Expenditure

a) Formula Headroom – Notional £6,000

Havering Budget for Formula Headroom £324,079

(This includes the pro-rata 5 months of the 2013/14 formula for Academies that spans LBH financial year 2014/15 and one year Transitional funding for new 2014/15 methodology)

b) SEN Contingency for in-year statements

Havering Budget £458,920

Provision for:

- Primary September Intake
 - Primary to Secondary September Transfers
 - Payments to OoB Schools
 - New and Additional Statemented Support
-

c) Other Central Expenditure

Havering Budget for SEN support £2,962,331

Provision for:

- Learning Support Service
 - Under 5 Inclusion Service
 - Bridge Nursery
 - Social Communication Service
 - Individual Support Special Education Needs and Support
-

Allocation of universal infant free school meals grant (LA estimate)

APPENDIX L

SchoolName	Status	Include in UIFSM	(a)	(b)	(c)	New Eligible Pupils	87% Take-up	Main Allocation		Small School Uplift		LBH to receive funding
			Total pupils on roll (all year groups)	Total pupils in Year Groups R, 1 and 2	Pupils known to be eligible for FSM in Year Groups R,1 and 2			Provisional (whole AY funding)	June/July 2014 payment	Applicable	Uplift	
								January 2014 pupils in (b) minus January 2014 pupils in (c) x the meal rate of £2.30 x 190 meal days x 87% (estimated average national take up).	7/12 of allocation			1,598,069.00
The James Oglethorpe Primary	Maintained	yes	299	119	9	110	95.7	41,820.90	24,395.53	no	0.00	24,396.00
Harold Wood Primary School	Maintained	yes	412	200	22	178	154.86	67,673.82	39,476.40	no	0.00	39,476.00
Ardleigh Green Infants School	Maintained	yes	270	270	17	253	220.11	96,188.07	56,109.71	no	0.00	56,110.00
Elm Park Primary School	Maintained	yes	395	169	35	134	116.58	50,945.46	29,718.19	no	0.00	29,718.00
Benham Primary	Maintained	yes	311	133	8	125	108.75	47,523.75	27,722.19	no	0.00	27,722.00
Hylkiss Primary School	Maintained	yes	485	179	27	152	132.24	57,788.88	33,710.18	no	0.00	33,710.00
Hacton Primary School	Maintained	yes	427	161	24	137	119.19	52,086.03	30,383.52	no	0.00	30,384.00
Harold Court Primary School	Maintained	yes	320	152	34	118	102.66	44,862.42	26,169.75	no	0.00	26,170.00
Langton Infant School	Maintained	yes	241	241	38	203	176.61	77,178.57	45,020.83	no	0.00	45,021.00
Scargill Infants	Maintained	yes	235	235	40	195	169.65	74,137.05	43,246.61	no	0.00	43,247.00
Suttons Primary School	Maintained	yes	216	102	13	89	77.43	33,836.91	19,738.20	no	0.00	19,738.00
Whybridge Infant School	Maintained	yes	179	179	33	146	127.02	55,507.74	32,379.52	no	0.00	32,380.00
Brookside Infant School	Maintained	yes	231	179	52	127	110.49	48,284.13	28,165.74	no	0.00	28,166.00
Clockhouse Primary School	Maintained	yes	708	265	58	207	180.09	78,699.33	45,907.94	no	0.00	45,908.00
Crownfield Infant School	Maintained	yes	269	269	42	227	197.49	86,303.13	50,343.49	no	0.00	50,343.00
Parklands Infants' School	Maintained	yes	422	362	31	331	287.97	125,842.89	73,408.35	no	0.00	73,408.00
Rise Park Infants' School	Maintained	yes	220	220	28	192	167.04	72,996.48	42,581.28	no	0.00	42,581.00
Squirrels Heath Infant School	Maintained	yes	273	273	45	228	198.36	86,683.32	50,565.27	no	0.00	50,565.00
Gidea Park Primary School	Maintained	yes	422	180	18	162	140.94	61,590.78	35,927.96	no	0.00	35,928.00
Towers Infant School	Maintained	yes	228	228	20	208	180.96	79,079.52	46,129.72	no	0.00	46,130.00
Parsonage Farm Primary School	Maintained	yes	467	231	42	189	164.43	71,855.91	41,915.95	no	0.00	41,916.00
Brady Primary School	Maintained	yes	211	90	7	83	72.21	31,555.77	18,407.53	no	0.00	18,408.00
Scotts Primary School	Maintained	yes	213	91	6	85	73.95	32,316.15	18,851.09	no	0.00	18,851.00
Broadford Primary School	Maintained	yes	408	179	66	113	98.31	42,961.47	25,060.86	no	0.00	25,061.00
Newtons Primary School	Maintained	yes	341	139	48	91	79.17	34,597.29	20,181.75	no	0.00	20,182.00
Pyrgo Priory School	Maintained	yes	435	194	52	142	123.54	53,986.98	31,492.41	no	0.00	31,492.00
Nelmes Primary School	Maintained	yes	448	209	11	198	172.26	75,277.62	43,911.95	no	0.00	43,912.00
Mead Primary School	Maintained	yes	571	271	90	181	157.47	68,814.39	40,141.73	no	0.00	40,142.00
Rainham Village Primary School	Maintained	yes	457	192	58	134	116.58	50,945.46	29,718.19	no	0.00	29,718.00
Hilldene Primary School	Maintained	yes	723	270	85	185	160.95	70,335.15	41,028.84	no	0.00	41,029.00

SchoolName	Status	Include in UIFSM	(a)	(b)	(c)	New Eligible Pupils	87% Take-up	Main Allocation		Small School Uplift		LBH to receive funding
			Total pupils on roll (all year groups)	Total pupils in Year Groups R, 1 and 2	Pupils known to be eligible for FSM in Year Groups R,1 and 2			Provisional (whole AY funding)	June/July 2014 payment	Applicable	Uplift	
			NOR	Yr R-2	FSM eligible as at Jan-14 Census			January 2014 pupils in (b) minus January 2014 pupils in (c) x the meal rate of £2.30 x 190 meal days x 87% (estimated average national take up).	7/12 of allocation			1,598,069.00
The Mawney Foundation School	Maintained	yes	297	177	53	124	107.88	47,143.56	27,500.41	no	0.00	27,500.00
The RJ Mitchell Primary School	Maintained	yes	217	98	25	73	63.51	27,753.87	16,189.76	no	0.00	16,190.00
Engayne Primary School	Maintained	yes	628	269	17	252	219.24	95,807.88	55,887.93	no	0.00	55,888.00
Wykeham Primary School	Maintained	yes	436	205	47	158	137.46	60,070.02	35,040.85	no	0.00	35,041.00
Crowlands Primary School	Maintained	yes	657	261	63	198	172.26	75,277.62	43,911.95	no	0.00	43,912.00
Dame Dipping C.E School	Maintained	yes	102	43	5	38	33.06	14,447.22	8,427.55	yes	5,130.00	13,558.00
St. Edward'S C. Of E. Primary	Maintained	yes	679	270	17	253	220.11	96,188.07	56,109.71	no	0.00	56,110.00
St. Mary's Catholic Primary School	Maintained	yes	423	181	9	172	149.64	65,392.68	38,145.73	no	0.00	38,146.00
La Salle Catholic Primary Sc	Maintained	yes	210	90	12	78	67.86	29,654.82	17,298.65	no	0.00	17,299.00
St Paul'S Catholic Primary.	Maintained	yes	345	163	17	146	127.02	55,507.74	32,379.52	no	0.00	32,380.00
St. Ursula'S Catholic Infant School	Maintained	yes	226	178	24	154	133.98	58,549.26	34,153.74	no	0.00	34,154.00
St. Joseph'S Catholic Primary School	Maintained	yes	424	182	7	175	152.25	66,533.25	38,811.06	no	0.00	38,811.00
St Peter'S Catholic Primary	Maintained	yes	211	90	3	87	75.69	33,076.53	19,294.64	no	0.00	19,295.00
St Alban'S Catholic Primary	Maintained	yes	210	90	12	78	67.86	29,654.82	17,298.65	no	0.00	17,299.00
Branfil Primary School	Maintained	yes	448	207	8	199	173.13	75,657.81	44,133.72	no	0.00	44,134.00
Corbets Tey School	Maintained	yes	108	16	3	13	11.31	4,942.47	2,883.11	yes	3,000.00	5,883.00
Dycorts School	Maintained	yes	79	18	6	12	10.44	4,562.28	2,661.33	yes	3,000.00	5,661.00
Ravensbourne	Maintained	yes	83	12	3	9	7.83	3,421.71	1,996.00	yes	3,000.00	4,996.00

Note: Current or Main Indicator (NOT including duals)



Department
for Education

APPENDIX M

Consultation Response Form

**Consultation closing date: 30 April 2014
Your comments must reach us by that date**

Fairer schools funding in 2015-16

If you would prefer to respond online to this consultation please use the following link: <https://www.education.gov.uk/consultations>

Information provided in response to this consultation, including personal information, may be subject to publication or disclosure in accordance with the access to information regimes, primarily the Freedom of Information Act 2000 and the Data Protection Act 1998.

If you want all, or any part, of your response to be treated as confidential, please explain why you consider it to be confidential.

If a request for disclosure of the information you have provided is received, your explanation about why you consider it to be confidential will be taken into account, but no assurance can be given that confidentiality can be maintained. An automatic confidentiality disclaimer generated by your IT system will not, of itself, be regarded as binding on the Department.

The Department will process your personal data (name and address and any other identifying material) in accordance with the Data Protection Act 1998, and in the majority of circumstances, this will mean that your personal data will not be disclosed to third parties.

Please tick if you want us to keep your response confidential.	<input type="checkbox"/>
Reason for confidentiality:	

Name: David Allen	
Please tick if you are responding on behalf of your organisation.	<input checked="" type="checkbox"/>
Name of Organisation (if applicable): London Borough of Havering	
Address: Learning & Achievement Mercury Gardens, Mercury House, Romford, Essex RM1 3DW	

If your enquiry is related to the DfE e-consultation website or the consultation process in general, you can contact the Ministerial and Public Communications Division by e-mail: consultation.unit@education.gsi.gov.uk or by telephone: 0370 000 2288 or via the Department's '[Contact Us](#)' page.

Please mark the box that best describes you as a respondent.

<input type="checkbox"/> Maintained school	<input type="checkbox"/> Academy	<input checked="" type="checkbox"/> Local authority
<input type="checkbox"/> Governor	<input type="checkbox"/> Bursar	<input type="checkbox"/> Parent
<input checked="" type="checkbox"/> Schools forum	<input type="checkbox"/> Trade union organisation	<input type="checkbox"/> Other

Please Specify:

This is joint response from the Local Authority and the Schools Forum.

1 Do you agree that the existing distribution of schools funding is unfair?

<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Not Sure
---	-----------------------------	-----------------------------------

Comments:

There are large variations in the Guaranteed Unit of Funding (GUF) per pupil received by local authorities. This has been the case both before and after the 2013/14 School Funding Reforms.

2 Do you agree with our proposed choice of characteristics to which to attach minimum funding levels?

Yes No Not Sure

Comments:
The characteristics proposed do not take into account differing circumstances in LAs, the separation of the DSG into the three main blocks and Schools Block funding that has been held centrally.

For example, for many years before the 2013/14 School Funding Reforms Havering has been the 2nd lowest funded borough in London. In 2013/14 the funding was separated into the 3 main blocks of Schools, High Needs and Early Years and because Havering received relatively less through the Early Years and High Needs blocks there was relatively more in the Schools block.

Similarly, Havering holds centrally relatively low amounts in its Schools block leaving a greater amount to distribute to schools. Other LAs may release some of this funding to schools during the year.

Any changes in funding distribution should take into account the whole of the DSG, pressures on school places and financial pressures in schools and not just the funding allocated to schools using the proposed characteristics.

Given our proposal to set minimum funding levels such that we can afford to fund all local authorities at those levels or above in 2015-16, do you agree with the proposed values of the minimum funding levels?

3 a) Age Weighted Pupil Unit

Yes No Not Sure

3 b) Deprivation

Yes No Not Sure

3 c) Looked-after children

Yes No Not Sure

3 d) English as an additional language

<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	<input type="checkbox"/> Not Sure
------------------------------	--	-----------------------------------

3 e) Low prior attainment

<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	<input type="checkbox"/> Not Sure
------------------------------	--	-----------------------------------

3 f) Lump sum

<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	<input type="checkbox"/> Not Sure
------------------------------	--	-----------------------------------

3 g) Sparsity

<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	<input type="checkbox"/> Not Sure
------------------------------	--	-----------------------------------

Comments:

It is not agreed that using the above characteristics is the best way to allocate additional funding. Many schools are facing additional pressures such as a 2.3% increase in teachers' pension contribution from September 2015 but only those schools within the LAs receiving additional funding from the £350m will be able to fund the additional costs.

The values attached to each of the above factors will vary because of local circumstances. Some LAs may have taken the decision to hold greater sums centrally than others therefore reducing the funding that is distributed to schools.

It is not fair that these LAs should have their lower values "topped up" when their schools may receive additional funding from centrally held Schools or High Needs Block budgets.

In some circumstances, although the values that some LAs apply to the funding factors may be higher than average, not all schools may benefit because their LA has introduced capping arrangements. The published funding factor values may therefore be misleading.

4 Do you agree that labour market cost differences should be taken into account as we allocate the £350m?

<input type="checkbox"/> Agree	<input checked="" type="checkbox"/> Disagree	<input type="checkbox"/> Not sure
--------------------------------	--	-----------------------------------

Comments:
The lower protection limit means that the 23 'cheapest' areas have their Labour Cost Adjustment raised to 1.000 which reduces the relative difference between higher cost areas such as London and the cheaper cost areas. This has the effect of transferring funding from expensive to less expensive areas.

5 Do you agree this should be calculated using the hybrid approach we have set out?

<input type="checkbox"/> Agree	<input type="checkbox"/> Disagree	<input checked="" type="checkbox"/> Not sure
--------------------------------	-----------------------------------	--

Comments:

6 If you do not agree that we should use a hybrid approach, what would you prefer we used?

<input type="checkbox"/> Use teacher pay bands only	<input type="checkbox"/> Use a general labour market measure only	<input checked="" type="checkbox"/> Use an alternative method
---	---	---

Comments:

The Minimum Funding Levels (MFL) do not reflect the higher costs of London LAs. For example, the outer London average value for AWPU's are: Primary £3,203, KS3 £4,352 and £4,817 which are all greater than the proposed MFL. This should be reflected in calculation of the ACA.

Also, the actual average teacher salaries in London are greater than the notional averages used in the proposed model.

Sparsity Review

7 We introduced a sparsity factor for the first time in 2015-16. How helpful has this factor been in ensuring that sufficient funding is targeted at small schools serving sparsely populated areas?

<input type="checkbox"/> Useful	<input type="checkbox"/> Not useful	<input checked="" type="checkbox"/> Not sure
---------------------------------	-------------------------------------	--

Comments:

No school in Havering benefitted from the sparsity factor.

8 Do you think it would be useful to revise the criteria for the sparsity factor to take into account the average number of pupils in each year group, rather than the number of pupils in the school? If so, how?

<input type="checkbox"/> Useful	<input type="checkbox"/> Not useful	<input checked="" type="checkbox"/> Not sure
---------------------------------	-------------------------------------	--

Comments:

9 Are there any other changes you would like to suggest to improve the operation of this factor, and why?

Comments: N/A

Thank you for taking the time to let us have your views. We do not intend to acknowledge individual responses unless you place an 'X' in the box below.

Please acknowledge this reply.	
E-mail address for acknowledgement:	

Here at the Department for Education we carry out our research on many different topics and consultations. As your views are valuable to us, please confirm below if you would be willing to be contacted again from time to time either for research or to send through consultation documents?

<input type="checkbox"/> Yes	<input type="checkbox"/> No
------------------------------	-----------------------------

All DfE public consultations are required to meet the Cabinet Office [Principles on Consultation](#)

The key Consultation Principles are:

- departments will follow a range of timescales rather than defaulting to a 12-week period, particularly where extensive engagement has occurred before
- departments will need to give more thought to how they engage with and use real discussion with affected parties and experts as well as the expertise of civil service learning to make well informed decisions
- departments should explain what responses they have received and how these have been used in formulating policy
- consultation should be 'digital by default', but other forms should be used where these are needed to reach the groups affected by a policy
- the principles of the Compact between government and the voluntary and community sector will continue to be respected.

If you have any comments on how DfE consultations are conducted, please contact Aileen Shaw, DfE Consultation Coordinator, tel: 0370 000 2288 / email: aileen.shaw@education.gsi.gov.uk

Thank you for taking time to respond to this consultation.

Completed responses should be sent to the address shown below by 30 April 2014

Ministerial and Public Communication Division, Level 2, Department for Education, Mowden Hall, Staindrop Road, DARLINGTON DL3 9BG

Send by e-mail to:
SchoolFunding.CONULTATION@education.gsi.gov.uk

This page is intentionally left blank



Department
for Education

APPENDIX N

Consultation Response Form

Consultation closing date: 2 June 2014
Your comments must reach us by that date

Simplifying the administration of academies funding

If you would prefer to respond online to this consultation please use the following link: <https://www.education.gov.uk/consultations>

In order to help with the analysis of consultation responses please use the online system wherever possible. If for exceptional reasons you are unable to use the online system, for example because you use accessibility software that is not compatible with the system, you may use this version of the form.

Information provided in response to this consultation, including personal information, may be subject to publication or disclosure in accordance with the access to information regimes, primarily the Freedom of Information Act 2000 and the Data Protection Act 1998.

If you want all, or any part, of your response to be treated as confidential, please explain why you consider it to be confidential.

If a request for disclosure of the information you have provided is received, your explanation about why you consider it to be confidential will be taken into account, but no assurance can be given that confidentiality can be maintained. An automatic confidentiality disclaimer generated by your IT system will not, of itself, be regarded as binding on the Department.

The Department will process your personal data (name and address and any other identifying material) in accordance with the Data Protection Act 1998, and in the majority of circumstances, this will mean that your personal data will not be disclosed to third parties.

Please tick if you want us to keep your response confidential.	<input type="checkbox"/>
Reason for confidentiality:	

Name: David Allen	
Please tick if you are responding on behalf of your organisation.	<input checked="" type="checkbox"/>
Name of Organisation (if applicable): LB Havering	
Address: Learning & Achievement Mercury House Mercury Gardens	

Romford
RM1 3DW

If your enquiry is related to the DfE e-consultation website or the consultation process in general, you can contact the Ministerial and Public Communications Division by e-mail: consultation.unit@education.gsi.gov.uk or by telephone: 0370 000 2288 or via the GOV.UK '[Contact Us](#)' page.

Please mark the box that best describes you as a respondent.

<input type="checkbox"/> Maintained school	<input type="checkbox"/> Academy	<input checked="" type="checkbox"/> Local authority
<input type="checkbox"/> Governor	<input type="checkbox"/> Bursar	<input type="checkbox"/> Parent
<input type="checkbox"/> Schools forum	<input type="checkbox"/> Trade union organisation	<input type="checkbox"/> Other

Please specify:

1 Do you agree in principle with our proposal to convert non-recoupment academies to recoupment academies in 2015-16?

<input checked="" type="checkbox"/> Agree	<input type="checkbox"/> Disagree	<input type="checkbox"/> Not Sure
---	-----------------------------------	-----------------------------------

Comments:

All academy funding should be on the same basis. This proposal would make it fairer and more transparent.

- 2 Do you agree with our proposed methodology for calculating additional funding to be added to the local authorities' dedicated schools grant to take account of recoupment for former non-recoupment academies?

Agree

Disagree

Not Sure

Comments:

Central expenditure is top sliced from the DSG to pay for existing pupils and schools (pupil growth, falling rolls etc) and should not be used to also fund non recoupment academies without an increase in the DSG.

- 3 Do you agree with our proposed treatment of minimum funding levels within that calculation?

Agree

Disagree

Not Sure

Comments:

Although the principle of the calculation is reasonable we do not agree with the proposals to distribute the £350m within the “Fairer Schools Funding” consultation document and cannot therefore agree to this proposal either.

- 4 Do you agree with our proposal for calculating recoupment for former non-recoupment academies, including treatment of the minimum funding guarantee?

Agree Disagree Not Sure

Comments:

All academies should be treated on the same basis and should be funded on the October pupil numbers and not estimated pupil numbers.

- 5 Do you agree with the proposal to recoup funding when pupils leave the local authority maintained sector (or other school funded through the dedicated schools grant) to join a new or expanding free school?

Agree Disagree Not Sure

Comments:

The current DSG should not be used to fund pupil growth in free schools. This is an additional burden on LAs and should be funded as such. It will put too much pressure on existing levels of DSG and result in a reduction in the values of funding factors. LAs should not fund pupil growth in free schools that have opened where there is no necessity through Basic Need.

- 6 Do you agree that, if recouping funding when pupils leave the local authority maintained sector (or other school funded through the dedicated schools grant) to join a new or expanding free school, our proposal not to recoup in the first year is a fair solution?

Agree

Disagree

Not sure

Comments:

After the first year this proposal is fundamentally unfair. We do not agree that free schools should be included within the DSG so cannot agree to this proposal.

Thank you for taking the time to let us have your views. We do not intend to acknowledge individual responses unless you place an 'X' in the box below.

Please acknowledge this reply.



Email address for acknowledgement: david.allen@havering.gov.uk

Here at the Department for Education we carry out our research on many different topics and consultations. As your views are valuable to us, please confirm below if you would be willing to be contacted again from time to time either for research or to send through consultation documents?

Yes No

All DfE public consultations are required to meet the Cabinet Office [Principles on Consultation](#)

The key consultation principles are:

- departments will follow a range of timescales rather than defaulting to a 12-week period, particularly where extensive engagement has occurred before
- departments will need to give more thought to how they engage with and use real discussion with affected parties and experts as well as the expertise of civil service learning to make well informed decisions
- departments should explain what responses they have received and how these have been used in formulating policy
- consultation should be 'digital by default', but other forms should be used where these are needed to reach the groups affected by a policy
- the principles of the Compact between government and the voluntary and community sector will continue to be respected.

Completed responses should be sent or emailed by 2 June 2014.

Send by post to:

Anthony Wilson
Department for Education
Mowden Hall
Area 2B
Staindrop Road
Darlington

Co Durham
DL3 9BG

Send by email to: NRA.CONULTATION@education.gsi.gov.uk

If you have any comments on how DfE consultations are conducted, please contact Aileen Shaw, DfE Consultation Co-ordinator, tel: 0370 000 2288 / email: aileen.shaw@education.gsi.gov.uk

Thank you for taking time to respond to this consultation.